

01/08/02  
10042335-010802  
3965 U.S. PTO

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01-11-02

PTO/SB/05 (2-01)

Approved for use through 10/31/2002. OMB 065-00033

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<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>  (Only for new nonprovisional applications under 37 CFR 1.53(b))		Attorney Docket No.	5579																																																				
		First Inventor	KRYIAK, DAWN L.M.																																																				
		Title	LAMINATED MULTI-LAYERED CEREAL PRODUCTS AND METHODS OF PREPARATION																																																				
		Express Mail Label No.	ET134564763US																																																				
<b>APPLICATION ELEMENTS</b>  SEE MPEP chapter 600 concerning utility patent application contents.		<b>ADDRESS TO:</b> US Patent and Trademark Office PO Box 2327 Arlington, VA 22202																																																					
<div><div><div>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <small>(Submit an original and a duplicate for fee processing)</small></div><div>2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</div><div>3. <input checked="" type="checkbox"/> Specification <span style="float: right;">[Total Pages: 21]</span> <small>(preferred arrangement set forth below)</small><ul style="list-style-type: none"><li>-Descriptive title of the invention</li><li>-Cross Reference to Related Applications</li><li>-Statement Regarding Fed sponsored R &amp; D</li><li>-Reference to sequence listing, a table, or a computer program listing appendix</li><li>-Background of the Invention</li><li>-Brief Summary of the Invention</li><li>-Brief Description of the Drawings (if filed)</li><li>-Detailed Description</li><li>-Claim(s)</li><li>-Abstract of the Disclosure</li></ul></div><div>4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) <span style="float: right;">[Total Pages: 2]</span></div><div>5. Oath or Declaration <span style="float: right;">[Total Pages: 2]</span><div>a. <input checked="" type="checkbox"/> Newly executed (original or copy)</div><div>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d)) <small>(for continuation/divisional with Box 18 completed)</small></div><div>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) Named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)</div></div><div>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</div></div><div><div>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</div><div>8. Nucleotide and/or Amino Acid Sequence Submission <i>if applicable, all necessary</i><div>a. <input type="checkbox"/> Computer Readable Form (CRF)</div><div>b. Specification Sequence Listing on:<div>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</div><div>ii. <input type="checkbox"/> paper</div></div><div>c. <input type="checkbox"/> Statements verifying identity of above copies</div></div></div></div> <tr><td colspan="4"><b>ACCOMPANYING APPLICATION PARTS</b></td></tr> <tr><td colspan="4"><div>9. <input type="checkbox"/> Assignment Papers (cover sheet &amp; documents(s))</div><div>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney <small>(when there is an assignee)</small></div><div>11. <input type="checkbox"/> English Translation Document <small>(if applicable)</small></div><div>12. <input type="checkbox"/> Information Disclosure <input type="checkbox"/> Copies of IDS Citations Statement (IDS)/PTO-1449</div><div>13. <input type="checkbox"/> Preliminary Amendment</div><div>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <small>(Should be specifically itemized)</small></div><div>15. <input type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small></div><div>16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.</div><div>17. <input type="checkbox"/> Other: _____</div></td></tr> <tr><td colspan="4">18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: <div><input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No.:</div><div>Prior application information: Examiner: _____ Group Art Unit: _____</div><div>For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been omitted from the submitted application parts.</div></td></tr> <tr><td colspan="4">19. <b>RESPONDENCE ADDRESS</b></td></tr> <tr><td colspan="2"><input checked="" type="checkbox"/> Customer Number or Bar Code Label</td><td colspan="2">(Insert Customer Number or Bar Code Label here)</td></tr> <tr><td colspan="2">Name</td><td colspan="2">GENERAL MILLS</td></tr> <tr><td colspan="2">Address</td><td colspan="2">P O BOX 1113</td></tr> <tr><td colspan="2">City</td><td>State</td><td>MN</td></tr> <tr><td>Country</td><td>USA</td><td>Telephone</td><td>763-764-2422</td></tr> <tr><td></td><td></td><td>Zip Code</td><td>55440</td></tr> <tr><td></td><td></td><td>Fax</td><td>763-764-2268</td></tr> <tr><td colspan="2">Name</td><td>Registration No. (Attorney/Agent)</td><td>28,336</td></tr> <tr><td colspan="2">Signature</td><td>Date</td><td>January 8, 2002</td></tr>				<b>ACCOMPANYING APPLICATION PARTS</b>				<div>9. <input type="checkbox"/> Assignment Papers (cover sheet &amp; documents(s))</div> <div>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney <small>(when there is an assignee)</small></div> <div>11. <input type="checkbox"/> English Translation Document <small>(if applicable)</small></div> <div>12. <input type="checkbox"/> Information Disclosure <input type="checkbox"/> Copies of IDS Citations Statement (IDS)/PTO-1449</div> <div>13. <input type="checkbox"/> Preliminary Amendment</div> <div>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <small>(Should be specifically itemized)</small></div> <div>15. <input type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small></div> <div>16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). 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Signature		Date	January 8, 2002																																																				

Burden Hour Statement: This form is estimated to take .2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.



30173

PATENT &amp; TRADEMARK OFFICE

PTO/SB/17 (11-00)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**FEE TRANSMITTAL  
for FY 2001**

Patent fees are subject to annual revision.

**Complete if Known**

Application Number	N/A
Filing Date	
First Named Inventor	KRYIAK, DAWN L.M.
Examiner Name	
Group Art Unit	
Attorney Docket No.	5579

**TOTAL AMOUNT OF PAYMENT (\$)** 1,410.00**METHOD OF PAYMENT**

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit overpayments to:  
Deposit Account Number 07-0900  
Deposit Account Name
- ☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☐ Applicant Claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

- ☐ Check ☐ Credit Card ☐ Money ☐ Other  
Order

**FEE CALCULATION****1. BASIC FILING FEE**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	740
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	
<b>SUBTOTAL (1)</b>					<b>(\$)</b> 740

**2. EXTRA CLAIM FEES**

Extra Claims				Fee from below	Fee Paid
Total Claims	50 -20**	= 30	x 18	= 540	
Indep. Claims	2 -3**	=	x	=	
Multiple Dependent					
Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple dependent claim, if not paid	
109	84	209	42	** Reissue independent claims over original patent	
110	18	210	9	** Reissue claims in excess of 20 and over original patent	
<b>SUBTOTAL (2)</b>					<b>(\$)</b> 540

\*\*or number previously paid, if greater; For Reissues, see above.

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

				Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2520	147	2520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1840*	113	1840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1440	218	720	Extension for reply within fourth month	
128	1960	228	980	Extension for reply within fifth month	
119	310	220	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1510	138	1510	Petition to institute a public use proceeding	
140	110	240	55	Petition	130
141	1240	241	620	Petition to revive - unintentional	
142	1280	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129 (a))	
149	710	246	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Advance Copies					
Other fee (specify)					130
<b>SUBTOTAL (3)</b>					<b>(\$)</b> 130

\*Reduced by Basic Filing Fee Paid

**SUBMITTED BY****Complete (if applicable)**

Name (Print/Type)	John A. O'Toole	Registration No.	28,336	Telephone	763-764-2422
Signature	John A. O'Toole	(Attorney/Agent)		Date	7/8/02

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re.: Patent Application of  
KRYSIK, DAWN L.M.  
ALLEN, PATRICK E.  
KIRIHARA, TERRY T.

Filed: January 8, 2002

For: LAMINATED MULTI-LAYERED CEREAL PRODUCTS  
AND METHODS OF PREPARATION

Docket No.: 5579

PETITION UNDER 37 CFR § 1.84(b)(1)  
FOR ACCEPTANCE OF PHOTOGRAPHS

OFFICIAL DRAFTSMAN  
Commissioner for Patents  
Washington, DC 20231

Dear Sir:

In accordance with MPEP § 608.002 and 37 CFR  
§§184(b)(1), Applicants respectfully request that the  
photographs submitted herewith for the above-identified  
patent application be accepted as formal drawings. The  
photographs illustrate aspects of the invention which can  
not be accurately or adequately depicted by ink drawings.

Applicant has enclosed a check in the amount of  
\$130.00 to pay the fee set forth under 37 CFR § 1.17(I).  
The Assistant Commissioner is hereby authorized to charge  
Deposit Account No. 07-0900 for any additional fees deemed  
necessary.

01/16/2002 HMOHAMM1 00000028 070900 10042835  
03 FC:122 130.00 CH

The PTO did not receive the following  
listed item(s) check \$130.00



30173

PATENT & TRADEMARK OFFICE

10042835-010002

Respectfully submitted,

KRYSIK, DAWN L.M.

ALLEN, PATRICK E.

KIRIHARA, TERRY T.

I hereby certify that this document is  
being deposited with the United States  
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Arlington, VA 22202

on:

January 8, 2007  
Blenda B. B. B.

Signature

By John A. O'Toole 1/8/02

John A. O'Toole

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Minneapolis, MN

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FAX: (763) 764-2268

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10042835-010802